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# Small-molecule targeting of signal transducer and activator of transcription (STAT) 3 to treat non-small cell lung cancer

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### ABSTRACT

**Objective:** Lung cancer is the leading cause of cancer death in both men and women. Non-small cell lung cancer (NSCLC) has an overall 5-year survival rate of 15%. While aberrant STAT3 activation has previously been observed in NSCLC, the scope of its contribution is uncertain and agents that target STAT3 for treatment are not available clinically.

**Methods:** We determined levels of activated STAT3 (STAT3 phosphorylated on Y705, pSTAT3) and the two major isoforms of STAT3 ( $\alpha$  and  $\beta$ ) in protein extracts of 8 NSCLC cell lines, as well as the effects of targeting STAT3 in vitro and in vivo in NSCLC cells using short hairpin (sh) RNA and two novel small-molecule STAT3 inhibitors, C188-9 and piperlongumine (PL).

**Results:** Levels of pSTAT3, STAT3 $\alpha$ , and STAT3 $\beta$  were increased in 7 of 8 NSCLC cell lines. Of note, levels of pSTAT3 were tightly correlated with levels of STAT3 $\beta$ , but not STAT3 $\alpha$ . Targeting of STAT3 in A549 cells using shRNA decreased tSTAT3 by 75%; this was accompanied by a 47–78% reduction in anchorage-dependent and anchorage-independent growth and a 28–45% reduction in mRNA levels for anti-apoptotic STAT3 gene targets. C188-9 and PL (@30  $\mu$ M) each reduced pSTAT3 levels in all NSCLC cell lines tested by  $\geq$ 50%, reduced anti-apoptotic protein mRNA levels by 25–60%, and reduced both anchorage-dependent and anchorage-independent growth of NSCLC cell lines with IC<sub>50</sub> values ranging from 3.06 to 52.44  $\mu$ M and 0.86 to 11.66  $\mu$ M, respectively. Treatment of nude mice bearing A549 tumor xenografts with C188-9 or PL blocked tumor growth and reduced levels of pSTAT3 and mRNA encoding anti-apoptotic proteins.

**Conclusion:** STAT3 is essential for growth of NSCLC cell lines and tumors and its targeting using C188-9 or PL may be a useful strategy for treatment.

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## 1. Introduction

Lung cancer is the leading cause of cancer-related death in men and women in Western countries [1]. Non-small cell lung cancer

(NSCLC) accounts for approximately 85% of all lung cancer cases. Approximately 70% of patients present with locally advanced or metastatic disease [1,2]. Despite novel molecular therapies, the prognosis remains poor with an overall 5 year survival rate of ~15%.

Elevated STAT3 was found in the majority (91–94%) of resected human NSCLC tumors [3,4] and increased pSTAT3 and nuclear STAT3 were found in ~55–62% of NSCLC tumors as measured by immunohistochemical analyses [5,6]. Downstream transcriptional targets of STAT3 have been found to be upregulated in resected NSCLC [7,8]. However, our understanding of the contribution of STAT3 to NSCLC oncogenesis is incomplete and it remains uncertain whether targeting STAT3 in NSCLC is technically feasible or therapeutically beneficial.

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In a drug development program involving virtual ligand screening, 2-D similarity screening, 3-D pharmacophore analysis, and SAR-based medicinal chemistry, we identified C188-9 [9–11] as a potent small-molecule probe that targets the Src-homology (SH) 2 domain of STAT3, thus, blocking two steps in its activation—recruitment to activated receptors and homodimerization. We also identified piperlongumine (PL), a natural product isolated from the fruit of the pepper *Piper longum*, as a direct STAT3 inhibitor in a drug repurposing screen [12]. Our objectives were to determine the frequency of activation of STAT3, and of increased levels of STAT3 isoforms ( $\alpha$  and  $\beta$ ), in a panel of human NSCLC cell lines. In addition, we wanted to determine the effect of targeting STAT3 with shRNA, C188-9, or PL on growth of NSCLC cells in vitro and in vivo.

## 2. Materials and methods

### 2.1. Cell culture

Eight NSCLC human cell lines (A549, H1299, H1563, H1437, H661, H2126, H1573, and H1975) were obtained from the American Type Culture Collection (ATCC, Rockville, MD). A normal human bronchial cell line, HBEC3-KT, was a gift from John Minna (UT-Southwestern). Cells were maintained in complete media with 10% FBS, antibiotics/antimycotics and not passed continuously more than 4 weeks. All cell lines were originally authenticated by ATCC.

### 2.2. Compounds

C188-9 was obtained from StemMed, Ltd., who developed it from an initial hit (C188) that was identified using computer-based docking of ~1 million compounds into the phosphotyrosyl-peptide binding pocket of the STAT3 SH2 domain [9–11]. PL, previously identified in a drug repurposing screen [12], was obtained from Indofine Chemical Company. Treatments with C188-9 and PL were performed as described in the text.

### 2.3. Luminex assay

All samples were lysed using buffer containing protease (Roche, catalog # 05892791001) and phosphatase inhibitors (Roche, catalog #04906837001). The protein extracts were collected after centrifugation at  $12,000 \times g$  at  $4^\circ\text{C}$  for 10 min. Protein was plated in a 96 well filter plate pre-loaded with beads (Millipore, Danvers, MA) coupled to antibody against the indicated analytes and incubated overnight at  $4^\circ\text{C}$ . Bead-bound analytes were measured using biotinylated detection antibody specific for a different epitope and streptavidin-phycoerythrin (streptavidin-PE). Data were collected and analyzed using the Bio-Plex suspension array system (Luminex 100 system, Bio-Rad Laboratories, Hercules, CA). Where indicated, GAPDH-normalized pSTAT3 values from each treatment condition were corrected for untreated cells, expressed as percentage untreated, and used to determine the  $\text{IC}_{50}$  using GraphPad.

### 2.4. Immunoblotting

All specimens were lysed as described in Section 2.3. Fifty micrograms of protein was separated on a 4–15% SDS-PAGE gel, transferred onto PVDF membrane (Bio-Rad, catalog #162-0174) in Tris-glycine buffer (BioRad, catalog# 161-0771) containing 20% v/v methanol. The PVDF membrane was blocked with 5% non-fat dry milk in TBS containing 0.05% Tween 20 (TBST). The blots incubated with primary antibody overnight at  $4^\circ\text{C}$ . The primary STAT3 $\beta$  mouse monoclonal antibody was generated in our laboratory against the C-terminal 7 amino acid residues unique to STAT3 $\beta$

[13] and used at a dilution of 1:1000. The membranes were incubated with horseradish-peroxidase (HRP)-conjugated secondary goat anti-mouse antibody (Santa Cruz, catalog# sc2005) at 1:5,000. The bands were visualized with enhanced chemiluminescence (Pierce ECL Western Blotting Substrate, Thermo Scientific, catalog# 32106). Densitometry analysis was performed using ImageJ software (NIH).

### 2.5. Construction of A549 cells stably expressing STAT3-specific or control shRNA

A puromycin titration identified the optimal inhibitory concentration of  $3.0 \mu\text{g}/\text{mL}$ . Stable STAT3 knockdown cell lines were created by infecting A549 cells with pLKO.1-based lentiviral particles containing shRNAs targeted to STAT3 (#TRCN0000329888; Sigma-Aldrich, St. Louis, MO) or Mission TRC2 Transduction Particle containing control shRNA (#SHC216V). Forty-eight hours post-infection, cells were passaged and selected with  $3.0 \mu\text{g}/\text{mL}$  puromycin for 10 days to eliminate uninfected cells.

### 2.6. Quantitative RT-PCR

Total RNA was isolated from samples using RNeasy (Qiagen), reverse transcribed, and amplified by real time PCR. Levels of STAT3 mRNA (Hs00374280.m1) and 18S RNA (Hs03928985.g1) were determined using TaqMan Universal Master Mix II (Life Technologies) and TaqMan primers (Life Technologies). Levels of Bcl-2, Bcl-xL, Cyclin D1, Survivin and GAPDH were determined using Sybr Green Master Mix (Life Technologies) and primers from Invitrogen. The results were expressed as relative mRNA levels normalized to the 18S or GAPDH mRNA level using the formula  $[2^{-\Delta\text{Ct}}(\text{18S or GAPDH}) - \text{Ct}(\text{Gene})]$ .

### 2.7. Anchorage-dependent and anchorage-independent cell growth assays

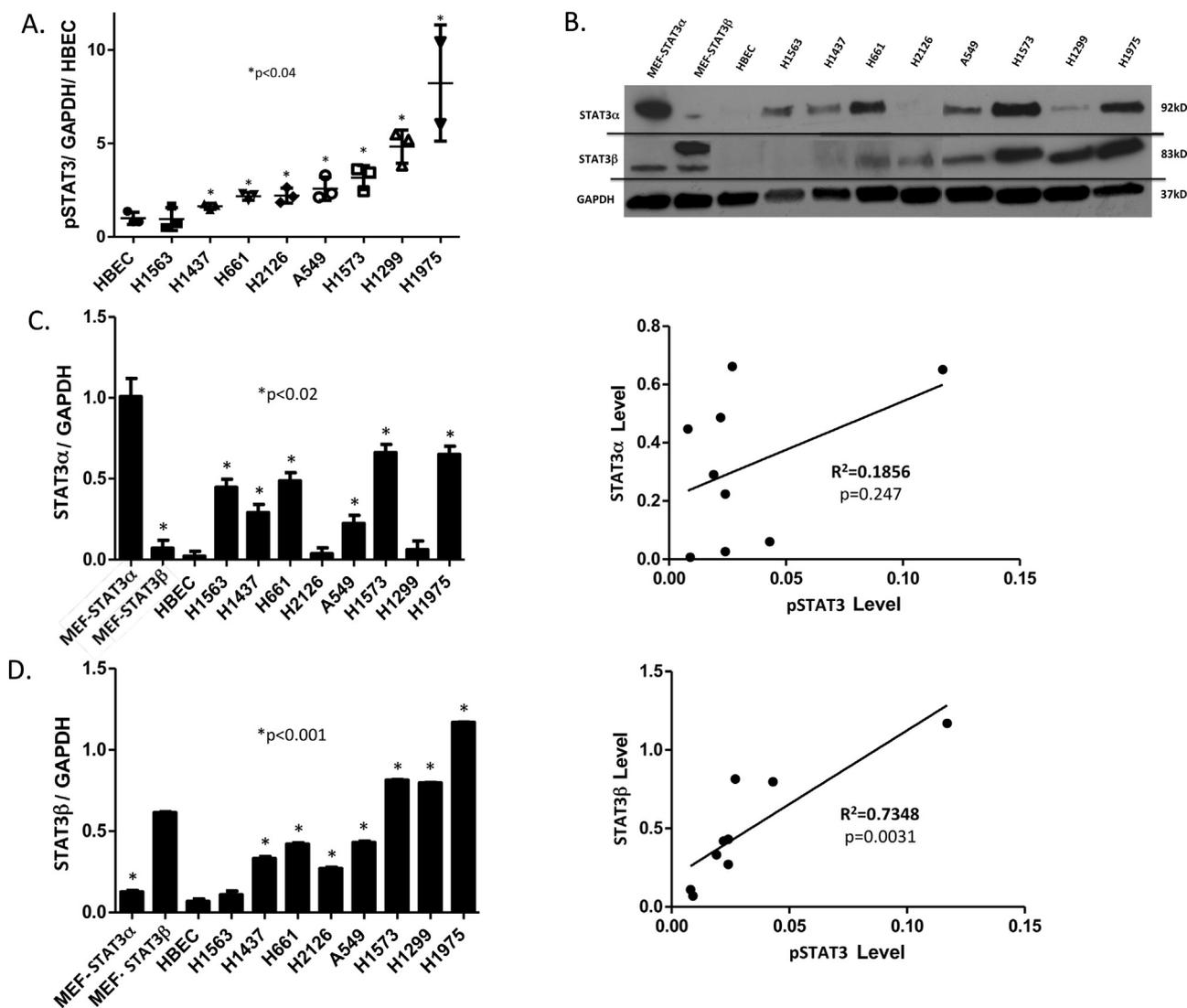
Cell growth was determined by measuring viability using an MTT assay, as described [12]. Cells were grown in triplicates in 96 well plates (or in ultra low attachment plates for the anchorage independent assay) in the recommended media with 10% FBS  $\pm$  C188-9 or PL for 24–72 h and cell number measured by determining the optical density (OD) at 590 nm using a 96-well multi-scanner (EL-800 universal microplate reader, BioTek Inc., VT, USA). Relative % proliferation ( $\text{OD}_{590}$  after any treatment  $\div$   $\text{OD}_{590}$  of untreated cells  $\times$  100) was plotted along Y-axis. At least 2 replicate experiments were performed and used for  $\text{IC}_{50}$  calculation using GraphPad software.

### 2.8. Flow cytometry

A549 cells were treated with C188-9 or PL (@ $30 \mu\text{M}$ ) for up to 48 h. Cells were dissociated into singlets followed by staining with FITC-conjugated antibodies for Annexin V analysis (Becton Dickinson, catalog #556547) or propidium iodide stain solution for cell cycle analysis (Becton Dickinson, catalog #340242). Cells were analyzed on an Attune flow cytometer (Becton Dickinson, Franklin Lakes, NJ, USA).

### 2.9. Nude mouse tumor xenograft assay

A549 cells were suspended in serum free media at a concentration of  $3 \times 10^6$  and were subcutaneously inoculated into the right flank of 5–6 week old athymic nude mice (11 mice/group). When the average tumor size was  $\sim 100 \text{mm}^3$ , mice received DMSO ( $50 \mu\text{L}$ ), C188-9 ( $50 \text{mg}/\text{kg}$  twice daily in  $25 \mu\text{L}$  DMSO) or PL ( $30 \text{mg}/\text{kg}$  once daily in  $50 \mu\text{L}$  DMSO) intraperitoneally 5 days/week



**Fig. 1.** STAT3 is constitutively activated in most NSCLC cell lines, which correlates with levels of STAT3 $\beta$ . (A) Level of pSTAT3 were determined by Luminex beads in human NSCLC cell lines and in a normal human bronchial epithelial cell line (HBEC3-KT); values were corrected for GAPDH levels, expressed as a fraction of that obtained in HBEC3-KT cells, and the mean  $\pm$  SD of 3 determinations shown; an asterisk (\*) indicates those cell lines increased compared to HBEC3-KT cells ( $p < 0.04$ ). (B) Levels of STAT3 $\alpha$ , STAT3 $\beta$  and GAPDH were determined by immunoblot in lysates of murine embryonic fibroblast cells (MEF) lines in which the STAT3 gene was deleted followed by transient expression of STAT3 $\alpha$  (MEF-STAT3 $\alpha$ ) or STAT3 $\beta$  (MEF-STAT3 $\beta$ ) [13], HBEC3-KT cells, and human NSCLC cell lines (representative gel shown). Levels of STAT3 $\alpha$  (C) and STAT3 $\beta$  (D) were quantified by densitometry using ImageJ software. Each value was corrected using its corresponding GAPDH level and the mean  $\pm$  SD of 2 determinations shown for each cell line (left panels) or as a function of its corresponding pSTAT3 level (right panels). An asterisk (\*) indicates those cell lines increased compared to HBEC3-KT cells ( $p < 0.02$ ). pSTAT3 levels did not significantly correlate with levels of STAT3 $\alpha$  ( $R^2 = 0.1856$ ,  $p = 0.247$ ), but did with levels STAT3 $\beta$  levels ( $R^2 = 0.7348$ ,  $p = 0.0031$ ).

for 3 weeks. Subcutaneous tumor volume ( $\text{mm}^3$ ) was calculated as  $0.5 \times (\text{long dimension}) \times (\text{short dimension})^2$ . At the end of 3 weeks, mice were euthanized. Tumors were excised, weighed and frozen in liquid nitrogen. Whole blood was obtained by cardiac puncture and anticoagulated. Peripheral blood mononuclear cells (PBMC) were isolated from whole blood by sedimentation over Ficoll-Paque PREMIUM 1.084 according to the manufacturer's instruction (GE Healthcare Life Sciences, cat #17-5442-02). Total protein was extracted from tumor pieces following sonication as described in section 2.3.

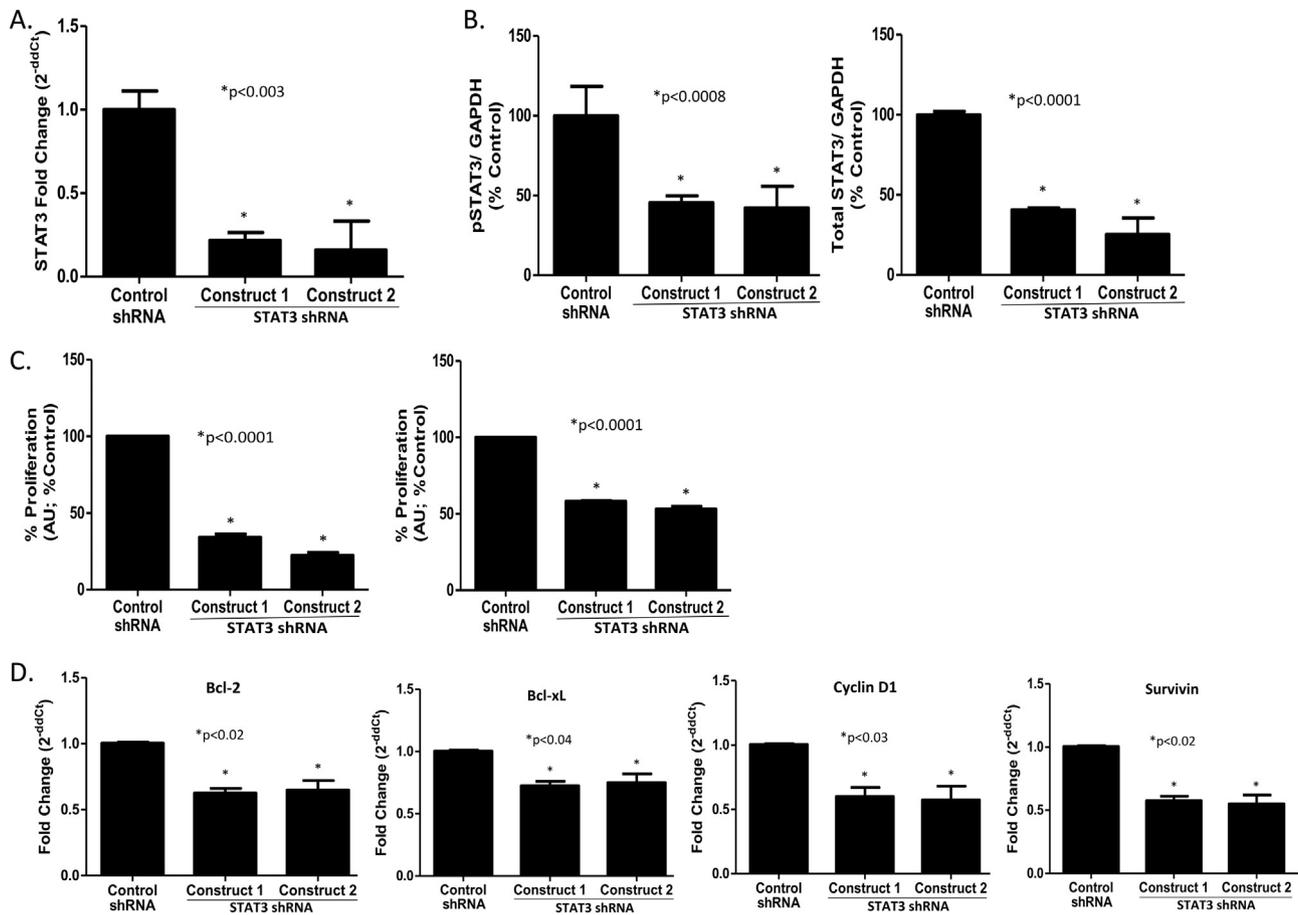
### 2.10. Statistical analysis

Student's *t*-test or a paired *t*-test was used to compare differences between groups as indicated.

## 3. Results

### 3.1. STAT3 is constitutively activated in most human NSCLC cell lines; levels of pSTAT3 correlate with levels of STAT3 $\beta$

Constitutively activated STAT3 has been demonstrated to be increased compared to corresponding normal tissues in multiple cancers, including NSCLC tumors cell lines [14]. To more thoroughly explore the frequency of increased levels of constitutively activated STAT3 in NSCLC cell lines, we first determined the level of activated STAT3 i.e., STAT3 phosphorylated on Y705 (pSTAT3), within protein extracts of a panel of eight NSCLC cell lines using a Luminex bead-based assay (Fig. 1A) and compared each to the level of pSTAT3 in the non-tumorigenic bronchial epithelial cell line (HBEC-3KT). One NSCLC cell line (H1563) had levels of pSTAT3 similar to HBEC-



**Fig. 2.** Effect of shRNA targeting of STAT3 on STAT3 gene targets and A549 cell proliferation. Total RNA (A) or protein (B) was extracted from A549 cells stably transfected with either control shRNA or 2 distinct STAT3 shRNA constructs and used to determine levels of STAT3 mRNA by quantitative RT-PCR (A) or levels of pSTAT3, total STAT3 and GAPDH protein using Luminex beads (B); mean  $\pm$  SD of 3 separate determinations are shown; \* $p < 0.003$  for each. (C) Cell proliferation in A549 cells stably transfected with either control shRNA or 2 distinct STAT3 shRNA constructs was determined by MTT assay using either anchorage-dependent (left panel) and anchorage-independent (right panel) conditions. Data shown are mean  $\pm$  SD of 3 determinations; \* $p < 0.0001$  for each. (D) Levels of four STAT3 downstream gene targets were determined in total RNA of A549 cells stably transfected with either control shRNA or 2 distinct STAT3 shRNA constructs using quantitative RT-PCR. Data shown are mean  $\pm$  SD of 3 determinations; \* $p < 0.02$ ,  $p < 0.04$ ,  $p < 0.03$  and  $p < 0.02$ , as shown.

3KT, while each of the other seven cell lines (H1437, H661, H2126, A549, H1573, H1299, H1975) had pSTAT3 levels 2–6 fold greater than HBEK-3KT.

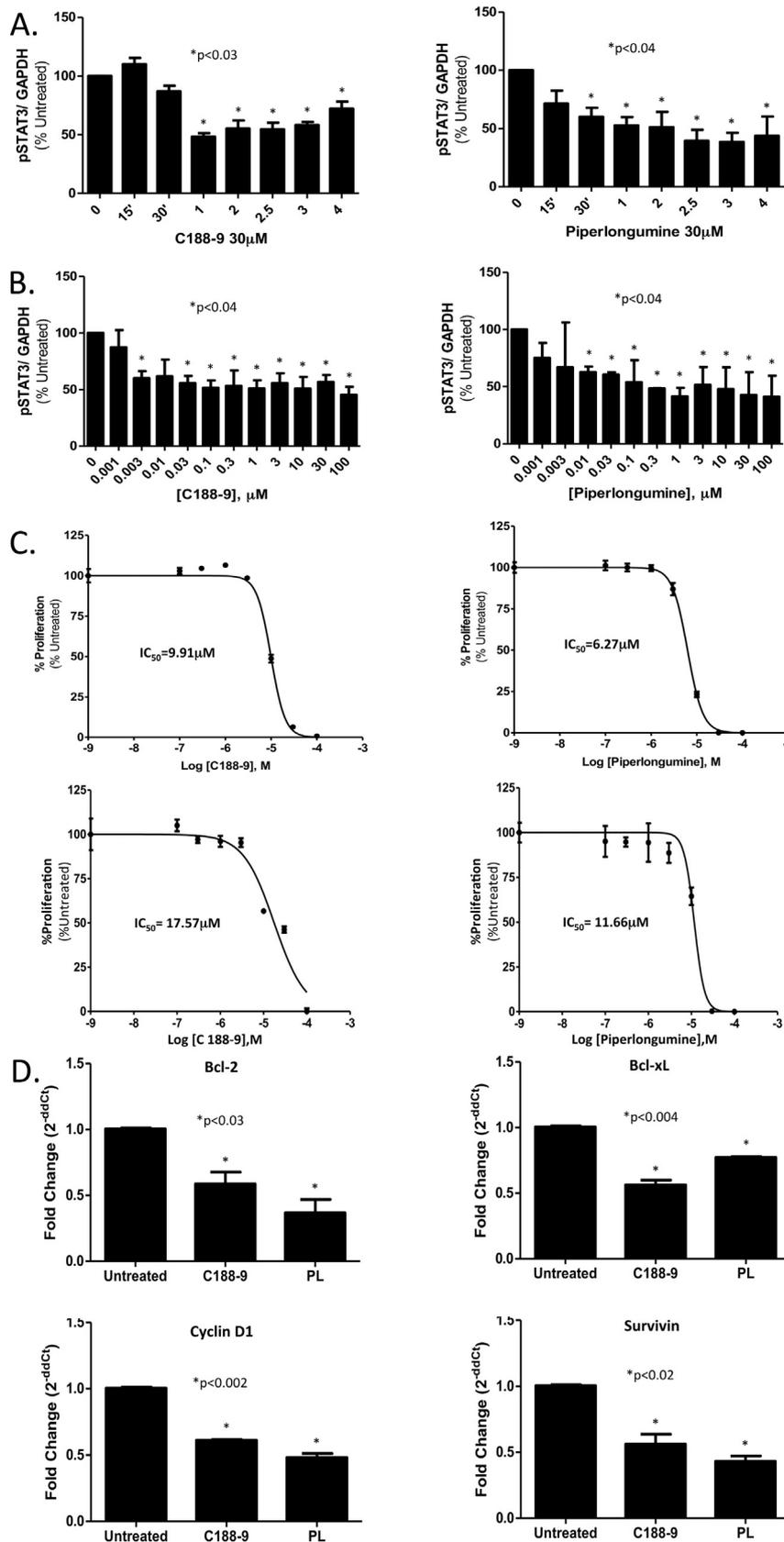
Two isoforms of STAT3 ( $\alpha$ /p92 and  $\beta$ /p83), resulting from alternative splicing, are present within most cell types at a 4:1 ratio [15]. STAT3 $\alpha$  has been more tightly linked to oncogenesis, while STAT3 $\beta$  has been shown to antagonize the pro-oncogenic functions of STAT3 $\alpha$  [15]. The contribution of each isoform to levels of STAT3 activation in NSCLC has not been reported. Using commercially available antibodies, we found the level of STAT3 $\alpha$  was increased in 6 of 8 NSCLC cell lines each by 2–5 fold compared to HBEK-3KT (Fig. 1B and C). However, to our surprise, levels of STAT3 $\alpha$  did not correlate with levels of pSTAT3 (Fig. 1C).

Compared to pSTAT3 $\alpha$  homodimers, studies demonstrated that STAT3 $\beta$  homodimers have been found to bind DNA more avidly [16], to be resistant to dephosphorylation [16,17], and to have markedly prolonged nuclear retention [18]. More recent studies demonstrated that increased co-expression of STAT3 $\beta$  relative to STAT3 $\alpha$  was shown to increase levels of pSTAT3 and to prolong its phosphorylation [17], presumably through resistance to dephosphorylation of STAT3 $\beta$  homodimers and STAT3 $\alpha$ / $\beta$  heterodimers [17]. We developed monoclonal antibodies that recognize the 7C-terminal amino acids unique to STAT3 $\beta$  (CT7) and were specific for STAT3 $\beta$  [13]. Using our STAT3 $\beta$ -specific antibodies, we found

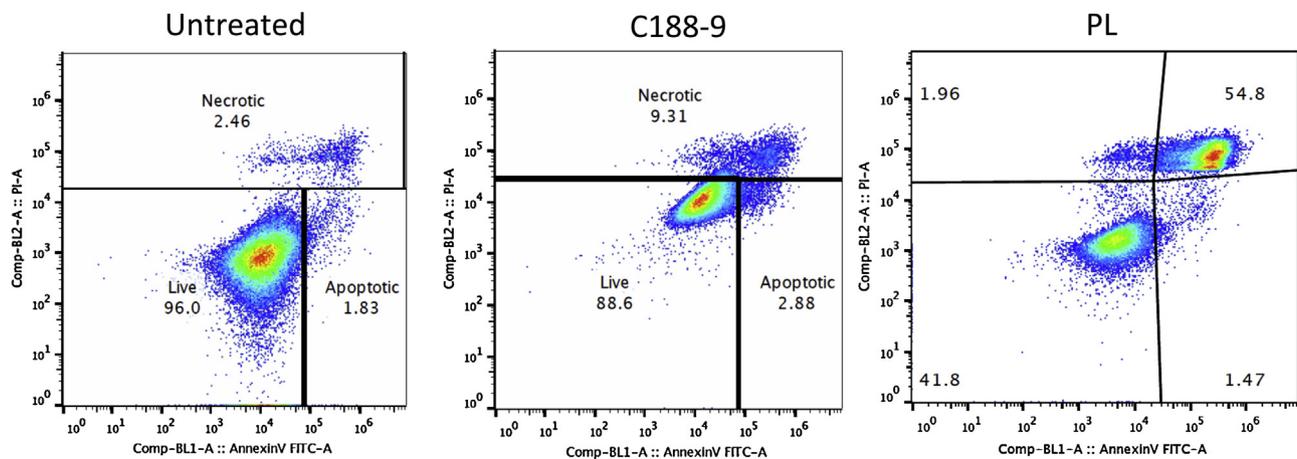
that STAT3 $\beta$  protein levels, similar to STAT3 $\alpha$  proteins levels, were elevated in 7 of 8 NSCLC cell lines each by 2–6 fold compared to HBEK-3KT (Fig. 1B and D). However, in contrast to levels of STAT3 $\alpha$ , levels of STAT3 $\beta$  positively correlated with pSTAT3 levels (Fig. 1D). These findings suggest that increased expression of STAT3 $\beta$  protein in lung cancer cell lines may directly contribute to the increased constitutive levels of pSTAT3 through increasing resistance of pSTAT3 to dephosphorylation.

### 3.2. Effect of shRNA targeting of STAT3 on NSCLC proliferation

To determine the contribution of STAT3 to tumor cell growth, we targeted it using shRNA. A549 cells were transfected with lentivirus expressing STAT3 specific shRNA or control shRNA. STAT3 mRNA was reduced by 78–84% in A549 cells stably transfected with STAT3 shRNA (A549/shSTAT3) vs. control shRNA (A549/shControl; Fig. 2A). Similarly, levels of pSTAT3 and total STAT3 protein were reduced by 58% and 75%, respectively, in A549/shSTAT3 vs. A549/shControl cells (Fig. 2B). Anchorage-dependent and anchorage-independent cell proliferation each was reduced by 78% and 47%, respectively, in A549/shSTAT3 cells vs. A549/shControl cells (Fig. 2C). Silencing of STAT3 reduced mRNA levels of STAT3 gene targets, including genes encoding anti-apoptotic molecules Bcl-2, Bcl-xL, and Survivin, as well as the cell



**Fig. 3.** C188-9 or PL treatment decreased levels of pSTAT3, reduced proliferation, and induced apoptosis of A549 cells. A549 cells were incubated with C188-9 (left panel) or PL (right panel; @30 μM) for the indicated time periods (A) or for 2.5 h at the indicated concentrations (B). pSTAT3 levels were determined in duplicate using Luminex beads, corrected for GAPDH. Data presented are mean ± SD of duplicate determinations; (\*) indicates treatment time intervals (A) and concentrations (B) significantly reduced from time 0 or concentration = 0 ( $p < 0.04$ ). (C) A549 cells were incubated in C188-9 (left panel) or PL (right panel) at the concentrations indicated for 72 h under anchorage-dependent (top panel) and anchorage-independent (bottom panel) conditions. Cell proliferation was assayed by MTT assay and the results used to generated the curves and IC<sub>50</sub> values shown. (D) Levels of four STAT3 downstream gene targets were determined in total RNA of A549 cells treated for 4 h with C188-9 or PL (@30 μM) using quantitative RT-PCR. Data shown are mean ± SD of 3 determinations; an asterisk (\*) indicates a significant difference from untreated cells with  $p$  values indicated.



**Fig. 4.** Annexin V staining of A549 cells following incubation with C188-9 or PL. A549 cells were incubated with C188-9 and PL (@30  $\mu$ M) for 24 h then stained with Annexin V and analyzed by flow cytometry. The percentage of cells in the necrotic, live, and apoptotic-gated areas are indicated.

cycle protein, Cyclin D1, each by 28–45% (Fig. 2D). These findings indicate STAT3 is necessary for proliferation of the A549 cell line likely through increasing levels of anti-apoptotic and cell cycle proteins.

### 3.3. STAT3 targeting with C188-9 or PL decreased pSTAT3 levels, reduced proliferation, and induced apoptosis in a panel of NSCLC cell lines

We previously identified C188-9 and PL as small molecule STAT3 inhibitors. To begin to determine their potential for treating NSCLC, we incubated a panel of human NSCLC cell lines with C188-9 or PL @30  $\mu$ M for various times (0, 0.25, 0.5, 1, 2, 2.5, 3, and 4 h). C188-9 and PL each reduced pSTAT3 levels in all cell lines tested, as early as 1 h and maximally by 2.5 h (Fig. 3A; data not shown). In addition, C188-9 and PL exposure (2.5 h) each decreased pSTAT3 levels in a concentration-dependent manner starting at concentrations as low as 3 nM (Fig. 3B). However, inhibition only exceeded 50% at much higher concentrations of C188-9 and PL (100  $\mu$ M and 1  $\mu$ M, respectively). To determine the effect of C188-9 and PL on proliferation of NSCLC cell lines, we incubated each cell line with C188-9 or PL at various concentrations (0, 0.1, 0.3, 1, 3, 10, and 100  $\mu$ M) for 72 h under both anchorage-dependent and anchorage-independent conditions. C188-9 and PL each reduced both anchorage-dependent and anchorage-independent cell viability of 8 human NSCLC cell lines after 72 h incubation with IC<sub>50</sub> values ranging from 3.06 to 52.44  $\mu$ M and 0.86 to 11.66  $\mu$ M, respectively (Fig. 3C). In addition, similar to targeting of STAT3 with shRNA, targeting of STAT3 in A549 cells with C188-9 or PL (@30  $\mu$ M) for 4 h reduced levels of STAT3 downstream transcriptional targets, including Bcl-2, Bcl-xL, Survivin and Cyclin D1, by 25–60% compared to untreated cells (Fig. 3D).

To determine whether the reduction in anti-apoptotic and cell cycle mRNA resulted in apoptosis vs. cessation of progression of the cell cycle, flow cytometry was performed on cells treated with each inhibitor (@ 30  $\mu$ M for 2, 4, 8, 12, 24 and 48 h). Both C188-9 and PL increased the rate of apoptosis in A549 cells from 3.25–3.5% in the untreated cells ( $N=7$ ) to 11.75% in C188-9-treated cells ( $N=4$ ;  $p<0.0012$ ) and 33.76% in PL-treated cells ( $N=3$ ;  $p<0.022$ ) at 24 h (Fig. 4). At 48 h incubation, treatment with C188-9 increased the percentage of apoptotic cells to 82.7% ( $n=2$ ;  $p<0.0012$ ). Neither inhibitor significantly affected cell cycle progression (data not shown).

### 3.4. Effect of C188-9 or PL on A549 tumor xenograft volume, weight, pSTAT3 levels and expression of STAT3 downstream targets

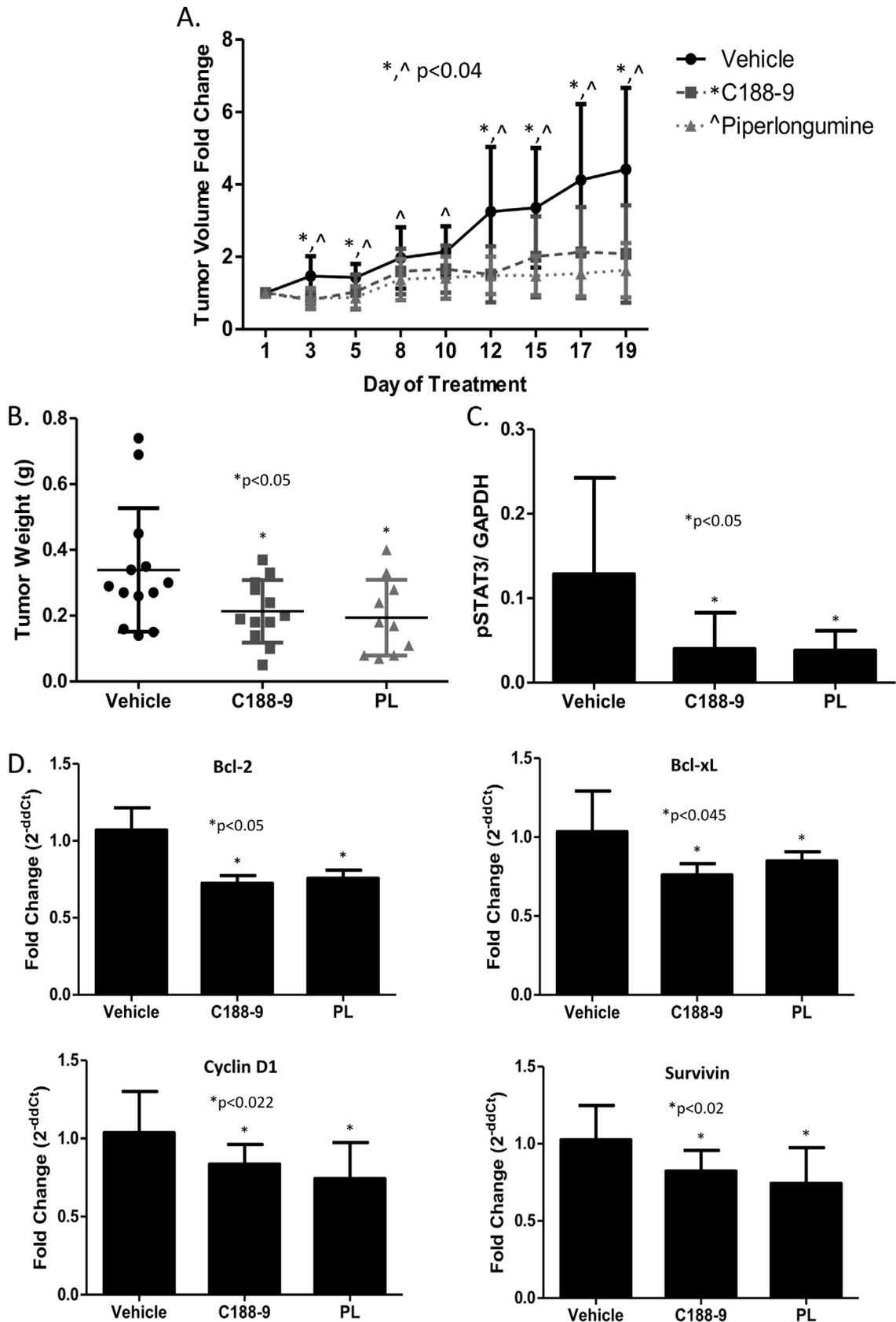
To examine the ability of C188-9 and PL to inhibit growth of human NSCLC tumors, C188-9, PL or DMSO (vehicle) was administered for three weeks by IP injection into athymic nude mice bearing human A549 tumor xenografts starting when the average tumor size in each group was  $\sim$ 100 mm<sup>3</sup>. C188-9 and PL each inhibited tumor growth with the difference in tumor volume of drug-treated vs. vehicle-treated mice becoming significant within two days and continuing until the end of treatment (Fig. 5A). Similarly, C188-9 and PL treatment reduced tumor weights at the end of treatment by 50% compared to vehicle controls ( $p<0.05$ ; Fig. 5B). Importantly, levels of pSTAT3 protein were reduced by 65% in tumors from drug-treated mice compared to tumors from vehicle treated mice ( $p<0.05$ ; Fig. 5C), which was accompanied by a 35% reduction in mRNA levels of STAT3 gene targets ( $P<0.05$  for each; Fig. 5D). Thus, both C188-9 and PL hit target in tumors resulting in stasis of tumor growth through downregulation of STAT3 anti-apoptotic and cell cycle gene targets.

### 3.5. Effect of C188-9 or PL on levels of pSTAT3 in peripheral blood mononuclear cells

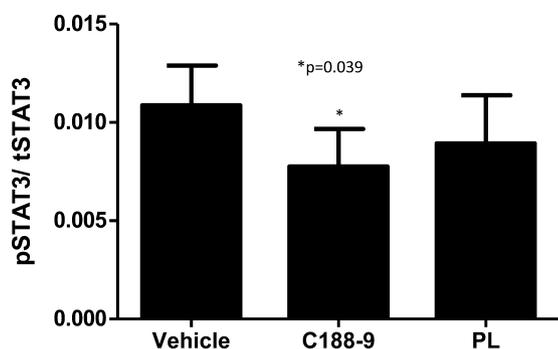
Increased pSTAT3 has previously been observed in PBMC of humans and mice with tumors [19], consequently, reduction of PBMC pSTAT3 could serve as a useful biomarker in patients receiving a STAT3 inhibitor. To determine if pSTAT3 was reduced in the PBMC of tumor-bearing mice receiving C188-9 or PL, we isolated PBMC from whole blood of mice bearing A549 xenografts at the end of treatment with C188-9, PL, or vehicle. Levels of pSTAT3 were reduced by 25% ( $p=0.039$ ) in PBMC of mice treated with C188-9 vs. vehicle (Fig. 6).

## 4. Discussion

We demonstrated that the level of pSTAT3, STAT3 $\alpha$ , and STAT3 $\beta$  were increased in 88% of NSCLC cell lines and that levels of activated STAT3 (pSTAT3) were tightly correlated with levels of STAT3 $\beta$ , but not STAT3 $\alpha$ . Targeted decrease of total STAT3 in a representative NSCLC cell line, A549, using shRNA reduced anchorage-dependent and anchorage-independent growth and was accompanied by reductions in mRNA levels for each of four anti-apoptotic and cell cycle proteins. Two small-molecule STAT3



**Fig. 5.** Effect of C188-9 and PL on A549 xenograft tumor volume, tumor weight, pSTAT3 levels, and expression of downstream STAT3 gene targets. Tumor volumes (A) or weights (B) were measured on the days indicated or following treatment for 3 weeks, respectively, with C188-9 (50 mg/kg IP twice daily;  $n = 12$ ), PL (30 mg/kg IP daily;  $n = 11$ ), or vehicle ( $n = 17$ ). The mean  $\pm$  SD for each group is shown. In panel A, asterisk (\*) or caret (^) indicates a reduction compared to vehicle in animals treated with C188-9 ( $p < 0.04$ ) or with PL ( $p < 0.04$ ), respectively. In panel B, the asterisk (\*) indicates  $p < 0.05$ . (C) Levels of pSTAT3 and GAPDH levels were determined using Luminex beads in protein extracts of tumors; pSTAT3 levels were normalized for GAPDH and the mean  $\pm$  SD shown; the asterisk (\*) indicates a reduction in pSTAT3 levels in C188-9- or PL-treated tumors compared to vehicle ( $p < 0.039$ ). (D) Levels of STAT3 downstream genes targets were assessed by RT-PCR in total tumor RNA. Mean  $\pm$  SD is shown; an asterisk (\*) indicates a significant reduction in Bcl-2 ( $p < 0.05$ ), Bcl-xL ( $p < 0.045$ ), Cyclin D1 ( $p < 0.022$ ) and Survivin ( $p < 0.02$ ) RNA levels in C188-9- and PL-treated tumors compared to vehicle-treated tumors.



**Fig. 6.** Effect of C188-9 or PL treatment on levels of pSTAT3 levels in PBMC. Levels of pSTAT3 and total STAT3 were determined using Luminex beads in protein extracts of PBMC isolated from whole blood of tumor-bearing mice after 3 weeks of treatment with vehicle, C188-9, or PL. Level of pSTAT3 were normalized to total STAT3. The mean  $\pm$  SD is shown; an asterisk (\*) indicates a reduction in pSTAT3 level compared to vehicle ( $p=0.039$ ).

inhibitors, C188-9 and PL, reduced pSTAT3 levels in all NSCLC cell lines tested and potently inhibited their anchorage-dependent and anchorage-independent growth. Treatment of A549 cells with each inhibitor increased cell apoptosis by decreasing mRNA levels of known STAT3 gene targets encoding anti-apoptotic proteins. Treatment of nude mice bearing A549 tumor xenografts with either C188-9 or PL reduced tumor volumes, tumor weights, and levels of tumor pSTAT3 and mRNA encoding anti-apoptotic proteins. Thus, STAT3 is essential for survival and growth of NSCLC cell lines and tumors and its targeting using C188-9 or PL may be a useful strategy for treatment of NSCLC patients.

Activation of STAT3 through phosphorylation on Y705 (pSTAT3) leads to its dimerization, nuclear translocation, DNA binding and gene transcription [20]. STAT3 is known to regulate the expression in many tumor types of genes involved in survival, cell cycle progression, angiogenesis, immune evasion, epithelial mesenchymal transformation and metastasis [8,20–25]. In resected NSCLC samples and human NSCLC cell lines, elevated STAT3 has been inversely correlated with tumor apoptosis, associated with resistance to chemotherapy and radiation, and associated with tumor initiating colonies or stem cell-like properties [23,26,27]. Furthermore, several studies have found that elevated pSTAT3 in NSCLC is associated with decreased survival [8,28]. STAT3 activation in solid tumors, including NSCLC, has been proposed to be induced by hyperactive growth factor receptors or autocrine cytokine signaling by members of the IL-6 family [3,29,30].

Several strategies have been employed to identify agents that target STAT3 to treat NSCLC, including small molecule inhibitors and oligonucleotides that target the IL-6/JAK/STAT pathway. Targeting the STAT pathway with these inhibitors resulted in decreased cancer cell viability, decreased stem cell-like properties and increased sensitivity to chemotherapy, radiation, and targeted therapies such as erlotinib [26,31–34]. Specifically, two JAK inhibitors (mometinib and ruxolitinib) previously used to treat myelofibrosis are now in early clinical trials in combination with other chemotherapeutic agents for late stage and recurrent NSCLC. One potential drawback of these inhibitors is that they work by reducing levels of pSTAT3 indirectly and leave other pathways leading to increased pSTAT3 unaffected. Clinical results with these agents may help determine the importance of other STAT3-activating pathways to NSCLC tumor growth, in addition to determining whether JAK inhibition will be an effective clinical strategy for treatment of at least a subset of patients with NSCLC.

Of the two STAT3 inhibitors examined in our studies, C188-9, in particular, has several features that make it an attractive candidate for transition to clinical use. It binds to STAT3 with high affinity ( $K_D=4.7\pm 0.4$  nM) [11], has a favorable safety profile, and excel-

lent oral pharmacokinetic properties in mice, rats and dogs [35]. In addition, we identified a safe, scalable, 3-step process for producing C188-9 for use in Good Laboratory Practice (GLP) and current Good Manufacturing Practice (cGMP) testing using commercially available starting materials [35].

In addition to reducing STAT3 in A549 xenograft tumors, C188-9 treatment also reduced levels of pSTAT3 within their PBMC. These findings suggest the possibility that PBMC pSTAT3 levels may serve as a readily accessible biomarker useful for determining the biological effect of STAT3 inhibitors such as C188-9 in clinical trials.

## Conflicts of interest

Baylor College of Medicine (BCM), with David J. Tweardy as inventor, were issued patents from the U.S., Canada, and Australia covering composition and use of C188-9 for cancer treatment. BCM has licensed these patents to StemMed, Ltd., Tweardy is President and CEO of StemMed.

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